

## 5 Landau Damping

In the first order, we denote the perturbation in  $f(\vec{r}, \vec{v}, t)$  by  $f_1(\vec{r}, \vec{v}, t)$ :

$$f(\vec{r}, \vec{v}, t) = f_0(\vec{v}) + f_1(\vec{r}, \vec{v}, t)$$

The first-order Vlasov equation for electron is

$$\frac{\partial f_1}{\partial t} + \vec{v} \cdot \vec{\nabla} f_1 - \frac{e}{m} \vec{E}_1 \cdot \frac{\partial f_0}{\partial \vec{v}} = 0$$

Where we let  $\vec{B}_0 = \vec{E}_0 = 0$

and we assumed the ions are massive and fixed

and that the waves are plane waves in the x direction

$$f_1 \propto e^{i(kx - \omega t)}$$

Then the first-order Vlasov equation becomes

$$\begin{aligned} -i\omega f_1 + ikv_x f_1 &= \frac{e}{m} E_x \frac{\partial f_0}{\partial v_x} \\ \therefore f_1 &= \frac{ieE_x}{m} \frac{\partial f_0 / \partial v_x}{\omega - kv_x} \end{aligned}$$

Poisson's equation

$$\begin{aligned} \epsilon_0 \vec{\nabla} \cdot \vec{E}_1 &= ik\epsilon_0 E_x = -en_1 = -e \int \int \int f_1 d^3v \\ ik\epsilon_0 E_x &= -e \int \int \int \frac{ieE_x}{m} \frac{\partial f_0 / \partial v_x}{\omega - kv_x} d^3v \\ \rightarrow 1 &= \frac{-e^2}{km\epsilon_0} \int \int \int \frac{\partial f_0 / \partial v_x}{\omega - kv_x} d^3v \end{aligned}$$

If we replace  $f_0$  by a normalized function  $\hat{f}_0$  ;

that is,  $f_0 = n_0 \hat{f}_0$

$$1 = -\frac{\omega_p^2}{k} \int_{-\infty}^{\infty} dv_z \int_{-\infty}^{\infty} dv_y \int_{-\infty}^{\infty} \frac{\partial \hat{f}_0(v_x, v_y, v_z) / \partial v_x}{\omega - kv_x} dv_x$$

For a one-dimensional Maxwellian distribution

$$\begin{aligned} 1 &= -\frac{\omega_p^2}{k} \int_{-\infty}^{\infty} \frac{\partial \hat{f}_0 / \partial v_x}{\omega - kv_x} dv_x \\ &= \frac{\omega_p^2}{k^2} \int_{-\infty}^{\infty} \frac{\partial \hat{f}_0 / \partial v_x}{v_x - \omega/k} dv_x \end{aligned}$$

Dropping the subindex x,

$$1 = \frac{\omega_p^2}{k^2} \int_{-\infty}^{\infty} \frac{\partial \hat{f}_0 / \partial v}{v - \omega/k} dv \quad : \text{dispersion relation}$$

singularity at  $v = \omega/k$

No problem, because in practice  $\omega$  is almost never real.

The integral must be treated as a contour integral in the complex  $v$  plane.

- For an unstable wave, with  $\text{Im}(\omega) > 0$

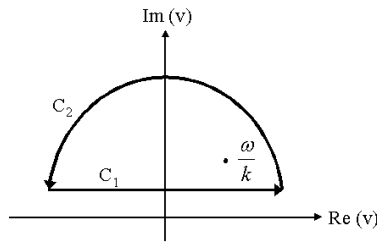


Figure 2: Contour I

- For a damped wave, with  $\text{Im}(\omega) < 0$

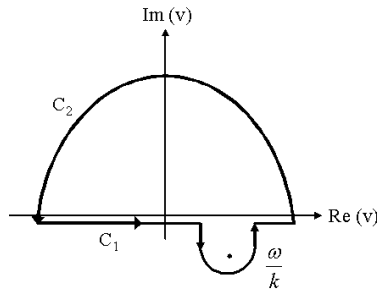


Figure 3: Contour II

$$\int_{C_1} G dv + \int_{C_2} G dv = 2\pi i R(\omega/k)$$

Where  $G$  is the integrand,  $C_1$  is the path along the real axis,  $C_2$  is the semicircle at infinity, and  $R(\omega/k)$  is the residue at  $\omega/k$ .

This Works If The Integral over  $C_2$  Vanishes. Unfortunately, this does not happen for a Maxwellian Distribution, which contains the factor

$$\exp(-v^2/v_{th}^2)$$

This factor becomes large for  $v \rightarrow \pm i\infty$ , and the contribution from  $C_2$  cannot be neglected.

But, for the case of large phase velocity and weak damping (small imaginary  $\text{Im}(\omega)$ ), the contour integral is possible as shown in Fig. 5.

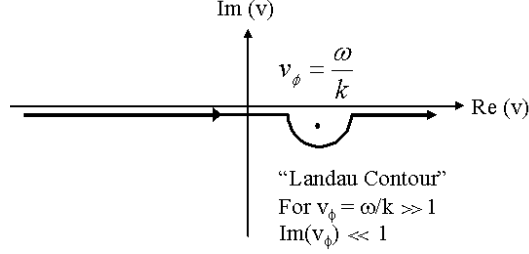


Figure 4: Contour III

- An approximate dispersion relation for the case of large phase velocity and weak damping.

For  $\text{Re}(v_\phi) \gg 1$  and  $\text{Im}(v_\phi) \ll 1$ , the contour in Fig. 5 is used.

Then the dispersion relation becomes

$$1 = \frac{\omega_p^2}{k^2} \left[ P \int_{-\infty}^{\infty} \frac{\partial \hat{f}_0 / \partial v}{v - (\omega/k)} dv + i\pi \frac{\partial \hat{f}_0}{\partial v} \Big|_{v=\frac{\omega}{k}} \right]$$

Where P stands for the Cauchy principal value.

- 1) The evaluation of  $P \int_{-\infty}^{\infty} \frac{\partial \hat{f}_0 / \partial v}{v - (\omega/k)} dv$  : stop just before encountering the pole

$$\begin{aligned} \int_{-\infty}^{\infty} \frac{\partial \hat{f}_0 / \partial v}{v - (\omega/k)} dv &= \left[ \frac{\hat{f}_0}{v - v_\phi} \right]_{-\infty}^{\infty} - \int_{-\infty}^{\infty} \frac{-\hat{f}_0}{(v - v_\phi)^2} dv \\ &= \int \frac{\hat{f}_0}{(v - v_\phi)^2} dv \quad (\because \hat{f}_0 \ll 1 \text{ for large } v) \\ &= \overline{(v - v_\phi)^{-2}} \end{aligned}$$

Since  $v_\phi \gg v$

$$\begin{aligned} (v - v_\phi)^{-2} &= v_\phi^{-2} \left( 1 - \frac{v}{v_\phi} \right)^{-2} \\ &= v_\phi^{-2} \left( 1 + \frac{2v}{v_\phi} + \frac{3v^2}{v_\phi^2} + \frac{4v^3}{v_\phi^3} + \dots \right) \end{aligned}$$

The odd terms vanish upon taking the average,

$$\begin{aligned} \overline{(v - v_\phi)^{-2}} &\simeq v_\phi^{-2} \left( 1 + \frac{3\overline{v^2}}{v_\phi^2} \right) \\ \frac{1}{2} m \overline{v^2} &= \frac{1}{2} k T_e \end{aligned}$$

Thus, the dispersion relation becomes

$$\begin{aligned}
1 &= \frac{\omega_p^2}{k^2} \frac{1}{v_p^2} \left(1 + 3 \frac{1}{v_p^2} \frac{kT_e}{m}\right) \\
&= \frac{\omega_p^2}{k^2} \frac{k^2}{\omega^2} \left(1 + 3 \frac{k^2}{\omega^2} \frac{kT_e}{m}\right) \\
\therefore \omega^2 &= \omega_p^2 + \frac{\omega_p^2}{\omega^2} \frac{3kT_e}{m} k^2
\end{aligned}$$

We assumed  $\text{Im}(\omega/k) \ll 1$

If the thermal correction is small,  $\omega^2 \approx \omega_p^2$

$$\therefore \omega^2 = \omega_p^2 + \frac{3kT_e}{m} k^2$$

2) The evaluation of the imaginary term

Neglect the thermal correction to the real part of  $\omega$

$$\omega^2 \simeq \omega_p^2$$

$$\begin{aligned}
1 &= \frac{\omega_p^2}{k^2} \left[ P \int_{-\infty}^{\infty} \frac{\partial \hat{f}_0 / \partial v}{v - (\omega/k)} dv + i\pi \frac{\partial \hat{f}_0}{\partial v} \Big|_{v=\frac{\omega}{k}} \right] \\
&= \frac{\omega_p^2}{k^2} \frac{1}{v_\phi^2} + \frac{\omega_p^2}{k^2} i\pi \frac{\partial \hat{f}_0}{\partial v} \Big|_{v=\frac{\omega}{k}} \\
&= \frac{\omega_p^2}{\omega} + i\pi \frac{\omega_p^2}{k^2} \frac{\partial \hat{f}_0}{\partial v} \Big|_{v=\frac{\omega}{k}}
\end{aligned}$$

$$\omega^2 \left( 1 - i\pi \frac{\omega_p^2}{k^2} \frac{\partial \hat{f}_0}{\partial v} \Big|_{v=\frac{\omega}{k}} \right) = \omega_p^2$$

$$\therefore \omega = \omega_p \left( 1 - i\pi \frac{\omega_p^2}{k^2} \frac{\partial \hat{f}_0}{\partial v} \Big|_{v=\frac{\omega}{k}} \right)^{-1/2} \simeq \omega_p \left( 1 + i \frac{\pi}{2} \frac{\omega_p^2}{k^2} \frac{\partial \hat{f}_0}{\partial v} \Big|_{v=\frac{\omega}{k}} \right)$$

$$\hat{f}_0 = \frac{1}{\sqrt{\pi} v_{th}} \exp\left(-\frac{v^2}{v_{th}^2}\right) : \text{ one - dimensional Maxwellian } v_{th}^2 = \frac{2kT_e}{m}$$

$$\begin{aligned}
\frac{\partial \hat{f}_0}{\partial v} &= (\pi v_{th}^2)^{-1/2} \left(\frac{-2v}{v_{th}^2}\right) \exp\left(-\frac{v^2}{v_{th}^2}\right) \\
&= -\frac{2v}{\sqrt{\pi} v_{th}^3} \exp\left(-\frac{v^2}{v_{th}^2}\right) \\
\frac{\partial \hat{f}_0}{\partial v} \Big|_{v=\frac{\omega}{k}} &= -\frac{2\frac{\omega}{k}}{\sqrt{\pi} v_{th}^3} \exp\left(-\frac{\omega^2/k^2}{v_{th}^2}\right)
\end{aligned}$$

$$\text{Im}(\omega) = -\frac{\pi \omega_p^3}{2} \frac{2}{k^2} \frac{\omega}{\sqrt{\pi}} \frac{1}{k} \frac{1}{v_{th}^3} \exp\left(-\frac{\omega^2/k^2}{v_{th}^2}\right)$$

$$\omega^2 = \omega_p^2 + \frac{3kT_e}{m} k^2$$

keep thermal correction term in the exponent.

$$\begin{aligned} \text{Im}(\omega) &= -\frac{\pi \omega_p^3}{2} \frac{2}{k^2} \frac{\omega}{\sqrt{\pi}} \frac{1}{k} \frac{1}{v_{th}^3} \exp\left(-\frac{\omega_p^2/k^2}{v_{th}^2}\right) \exp\left(-\frac{3}{2}\right) \\ &= -\sqrt{\pi} \omega_p \left(\frac{\omega_p}{kv_{th}}\right)^3 \exp\left(-\frac{\omega_p^2/k^2}{v_{th}^2}\right) \exp\left(-\frac{3}{2}\right) \\ \therefore \text{Im}\left(\frac{\omega}{\omega_p}\right) &= -0.22\sqrt{\pi} \left(\frac{\omega_p}{kv_{th}}\right)^3 \exp\left(-\frac{1}{2k^2 \lambda_D^2}\right) \end{aligned}$$

Where  $\lambda_D^2 = \frac{v_{th}^2}{2\omega_p^2}$

If  $\text{Im}(\omega) < 0$ , collisionless damping of plasma waves: “Landau damping”

This is the analytical result.

- The contour integral by numerical approach was presented (J.D. Jackson, Plasma Phys. 1(1960) pp. 5)  
→ Fried and Conte have provided tables for the case when  $\hat{f}_0$  is a Maxwellian.

The below figure shows the analytical results and numerical results.

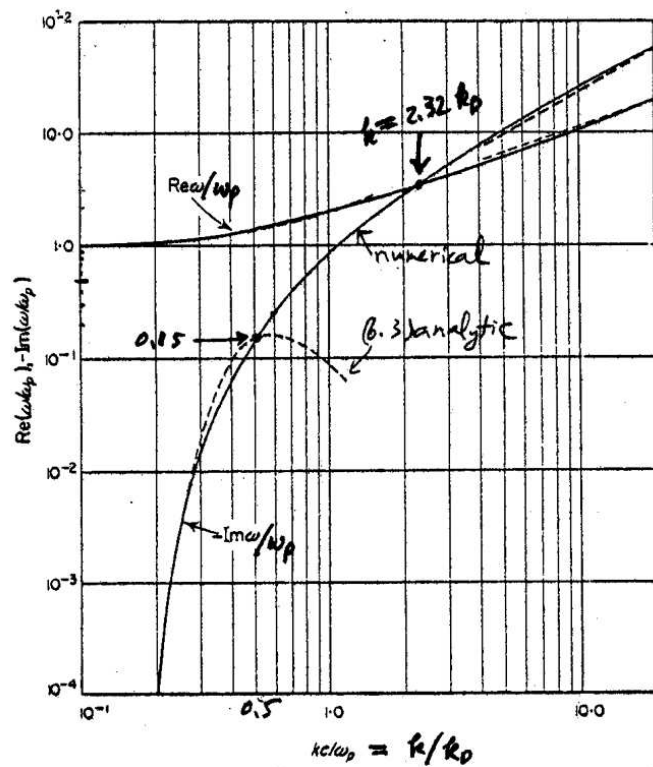


Figure 5: Real and imaginary parts of the frequency as a function of wave number for a stationary one-component plasma in thermal equilibrium. The frequency is given in units of  $\omega_p$ , while the wave number is expressed in units of the Debye wave number ( $k_D$ ). The dotted curves represent approximate formulas derived in this section.

- From the Harris Dispersion Relation

The electrostatic dispersion relation in hot plasma

$$1 + \sum_s \frac{1}{k^2 \lambda_D^2} \sum_n e^{-b} I_n(b) \left[ 1 + \frac{\omega}{k_z v_{th}} Z_n(\zeta_n) \right] = 0$$

$$\text{where } \lambda_D^2 = \frac{v_{th}^2}{2\omega_p^2}, \quad b = \frac{v_{th}^2 k_{\perp}^2}{2\Omega^2}, \quad \zeta_n = \frac{\omega - n\Omega}{k_z v_{th}}$$

$$v_{th} = \sqrt{\frac{2kT_e}{m}}$$

$Z_n(\zeta_n)$  is “Fried-Conte” function or “Dispersion function”  
 $Z_n(\zeta_n)$  can be evaluated numerically.

For Landau damping in unmagnetized plasmas ( $B_0 = 0$ )

$$\Omega \rightarrow 0, \quad k_{\perp} \rightarrow 0, \quad (n \rightarrow 0)$$

$$k_z \rightarrow k$$

$$\therefore 1 + \frac{1}{k^2 \lambda_D^2} \left[ 1 + \frac{\omega}{k v_{th}} Z\left(\frac{\omega}{k v_{th}}\right) \right] = 0$$

⊙ Power Series of  $Z(\zeta)$

for  $\zeta \ll 1$ ,

$$Z(\zeta) = i\pi^{1/2} e^{-\zeta^2} - 2\zeta \left[ 1 - 2\zeta^2/3 + 4\zeta^4/15 - 8\zeta^6/105 + \dots \right]$$

$$= i\pi^{1/2} e^{-\zeta^2} - \zeta \sum_{n=0}^{\infty} (-\zeta^2)^n \pi^{1/2} / (n + 1/2)!$$

⊙ Asymptotic Expansion

for  $\zeta \gg 1$ ,

$$Z(\zeta) \simeq i\pi^{1/2} \sigma e^{-\zeta^2} - \frac{1}{3} \left[ 1 + \frac{1}{2\zeta^2} + \frac{3}{4\zeta^4} + \dots \right]$$

$$= i\pi^{1/2} \sigma e^{-\zeta^2} - \sum_{n=0}^{\infty} \zeta^{-(2n+1)} (n - \frac{1}{2})! / \pi^{1/2}$$

$$\text{Where } \sigma = \left\{ \begin{array}{ll} 0 & y > 0 \\ 1 & y = 0 \\ 2 & y < 0 \end{array} \right\} \quad \zeta = x + iy$$

Assuming  $v_\phi = \frac{\omega}{k} \gg v_{th}$

$$Z\left(\frac{\omega}{kv_{th}}\right) \simeq i\pi^{1/2}\sigma e^{-\frac{\omega^2}{k^2v_{th}^2}} - \frac{kv_{th}}{\omega} - \frac{k^3v_{th}^3}{2\omega^3} - \frac{3k^5v_{th}^5}{4\omega^5}$$

A. Real term in dispersion relation

$$\begin{aligned} 1 &+ \frac{1}{k^2\lambda_D^2} \left[ 1 + \frac{\omega}{kv_{th}} \left( -\frac{kv_{th}}{\omega} - \frac{k^3v_{th}^3}{2\omega^3} - \frac{3k^5v_{th}^5}{4\omega^5} \right) \right] = 0 \\ \rightarrow 1 &+ \frac{1}{k^2\lambda_D^2} \left[ 1 - 1 - \frac{k^2v_{th}^2}{2\omega^2} - \frac{3k^4v_{th}^4}{4\omega^4} \right] = 0 \\ \rightarrow 1 &+ \frac{1}{k^2\lambda_D^2} \left[ \left( -\frac{k^2v_{th}^2}{2\omega^2} \right) \left( 1 + \frac{3k^2v_{th}^2}{2\omega^2} \right) \right] = 0 \\ \rightarrow 1 &+ \frac{2\omega_p^2}{k^2v_{th}^2} \left( -\frac{k^2v_{th}^2}{2\omega^2} \right) \left( 1 + \frac{3k^2v_{th}^2}{2\omega^2} \right) = 0 \\ \rightarrow 1 &- \frac{\omega_p^2}{\omega^2} \left( 1 + \frac{3k^2v_{th}^2}{2\omega^2} \right) = 0 \end{aligned}$$

$$\begin{aligned} \therefore \omega^2 &= \omega_p^2 + \frac{\omega_p^2}{\omega^2} \frac{3k^2v_{th}^2}{2} \\ &= \omega_p^2 + \frac{\omega_p^2}{\omega^2} \left( \frac{3}{2} \frac{kT_e}{m} k^2 \right) \\ &= \boxed{\omega_p^2 + \frac{\omega_p^2}{\omega^2} \frac{3kT_e}{m} k^2} \end{aligned}$$

B. Imaginary term in dispersion relation

$$\begin{aligned} 0 &= 1 + \frac{1}{k^2\lambda_D^2} \left[ 1 + \frac{\omega}{kv_{th}} \left( i\pi^{1/2}\sigma e^{-\frac{\omega^2}{k^2v_{th}^2}} - \frac{kv_{th}}{\omega} - \frac{k^3v_{th}^3}{2\omega^3} - \frac{3k^5v_{th}^5}{4\omega^5} \right) \right] \\ 0 &= 1 + \frac{1}{k^2\lambda_D^2} \left[ 1 + \frac{\omega}{kv_{th}} i\pi^{1/2}\sigma e^{-\frac{\omega^2}{k^2v_{th}^2}} - 1 - \frac{k^2v_{th}^2}{2\omega^2} \right] \\ &= 1 + \frac{2\omega_p^2}{k^2v_{th}^2} \left( \frac{\omega}{kv_{th}} \right) i\pi^{1/2}\sigma e^{-\frac{\omega^2}{k^2v_{th}^2}} - \frac{2\omega_p^2}{k^2v_{th}^2} \frac{k^2v_{th}^2}{2\omega^2} \\ \frac{\omega^2}{\omega_p^2} &= 1 - \frac{2\omega^3}{k^3v_{th}^3} i\pi^{1/2}\sigma e^{-\frac{\omega^2}{k^2v_{th}^2}} \\ \therefore \frac{\omega}{\omega_p} &= \left[ 1 - 2 \left( \frac{\omega}{kv_{th}} \right)^3 i\pi^{1/2}\sigma e^{-\frac{\omega^2}{k^2v_{th}^2}} \right]^{1/2} \\ &\simeq 1 - \left( \frac{\omega}{kv_{th}} \right)^3 i\pi^{1/2}\sigma e^{-\frac{\omega^2}{k^2v_{th}^2}} \\ &\simeq 1 - \left( \frac{\omega_p}{kv_{th}} \right)^3 i\pi^{1/2}\sigma e^{-\frac{\omega_p^2}{k^2v_{th}^2}} e^{-\frac{3}{2}} \end{aligned}$$



$$\therefore \text{Im}\left(\frac{\omega}{\omega_p}\right) = -0.22\sqrt{\pi}\sigma\left(\frac{\omega_p}{kv_{th}}\right)^3 e^{-\frac{1}{2k^2\lambda_D^2}}$$